

**NOTICE:** These brochure supplements provide information about the Investment Adviser Representatives (IARs) of ALPHA ARCHITECT, LLC (“ALPHA ARCHITECT”) and affiliates. This information supplements the ALPHA ARCHITECT brochure. You should have received a copy of that brochure. Please contact compliance at 215-882-9983, if you did not receive the brochure of ALPHA ARCHITECT. You can also contact Patrick Cleary if you have any questions about the content of attached supplements. Additional information about the IARs delineated within these supplements is available on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this website by using the IAR’s CRD number as listed herein.

**Brochure Supplement**  
(Part 2B of Form ADV)

**Investment Adviser Representatives  
of  
ALPHA ARCHITECT**

**213 Foxcroft Road  
Broomall, PA 19008**

**Telephone: 215-882-9983  
Email: [compliance@alphaarchitect.com](mailto:compliance@alphaarchitect.com)**

July 19, 2018

**A. GENERAL REQUIREMENTS**

Generally, ALPHA ARCHITECT and affiliates require employees to have relevant work experience in the securities industry. Any employee of ALPHA ARCHITECT acting in an Investment Adviser Representative (IAR) capacity will be appropriately licensed or registered as such.

**B. INVESTMENT ADVISER REPRESENTATIVE INFORMATION**

We currently have nine (9) investment adviser representatives employed by ALPHA ARCHITECT.

**NOTICE: This brochure supplement provides information about RICHARD KYLE BAXTER III that supplements the ALPHA ARCHITECT brochure. You should have received a copy of that brochure. Please contact Compliance at 215-882-9983, if you did not receive the brochure of ALPHA ARCHITECT. You can also contact Dr. Wes Gray if you have any questions about the content of attached supplement. Additional information about the IAR delineated within this supplement is available on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this website by using the IAR's CRD number as listed herein.**

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**RICHARD KYLE BAXTER III**

**INVESTMENT ADVISER REPRESENTATIVE**

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July 19, 2018

## **ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE**

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### **Richard Kyle Baxter III**

CRD No. 6742546

Year of Birth: 1990

#### **Education Background:**

MS, Finance, Baruch College, New York, NY  
(08/2015– 12/2016)

BS, Chemistry, University of Maryland, College Park, MD  
(01/2010– 05/2012)

BS, Chemistry, Wake Forest University, Winston-Salem, NC  
(09/2008– 12/2009)

#### **Business Experience:**

##### ***Investment Advisory Representative***

Alpha Architect, Broomall, PA

(1/2017 – Present)

##### ***Assistant Director of Operations***

Baxter Family Enterprises LLC, West Chester, PA

(5/2013– 9/2015)

##### ***President***

Bright Boys Entertainment LLC, West Chester, PA

(05/2012– 4/2013)

## **ITEM 3 DISCIPLINARY INFORMATION**

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None. Mr. Baxter, Investment Adviser Representative, does not have any legal or disciplinary events material to a client's or prospective client's evaluation.

## **ITEM 4 OTHER BUSINESS ACTIVITIES**

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None.

## **ITEM 5 ADDITIONAL COMPENSATION**

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None. Mr. Baxter, Investment Adviser Representative, does not receive any economic benefit from any third party for providing advisory services.

## **ITEM 6 SUPERVISION**

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IARs are supervised by Dr. Wesley Gray and Patrick Cleary. Dr. Gray and Mr. Cleary may be contacted by calling (215) 882-9983 or by emailing [compliance@alphaarchitect.com](mailto:compliance@alphaarchitect.com)

**NOTICE:** This brochure supplement provides information about PATRICK CLEARY that supplements the ALPHA ARCHITECT brochure. You should have received a copy of that brochure. Please contact Compliance at 215-882-9983, if you did not receive the brochure of ALPHA ARCHITECT. You can also contact Dr. Wes Gray if you have any questions about the content of attached supplement. Additional information about the IAR delineated within this supplement is available on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this website by using the IAR's CRD number as listed herein.

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**PATRICK CLEARY**

**INVESTMENT ADVISER REPRESENTATIVE**

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## **ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE**

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### **Patrick Cleary, Managing Member**

CRD No. 6394372

Year of Birth: 1982

#### Education Background:

MBA, Harvard Business School, Cambridge, MA

(9/08 – 5/10)

BS, Economics, The Wharton School at the University of Pennsylvania,  
Philadelphia, PA

(9/00 – 5/04)

#### Business Experience:

##### ***Managing Member***

ALPHA ARCHITECT, Broomall, PA

(9/2014– Present)

##### ***Director, Strategy and Corporate Development***

Algeco Scotsman, Baltimore, MD

(2/2014 – 9/2014)

##### ***Project Leader, Consultant***

Boston Consulting Group, Philadelphia, PA

(8/2010 – 2/2014)

##### ***Combat Engineer Officer***

United States Marine Corps

(10/2004– 8/2008)

## **ITEM 3 DISCIPLINARY INFORMATION**

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None. Mr. Cleary, Investment Adviser Representative, does not have any legal or disciplinary events material to a client's or prospective client's evaluation.

## **ITEM 4 OTHER BUSINESS ACTIVITIES**

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Mr. Cleary owns seven rental properties that represent personal investments.

## **ITEM 5 ADDITIONAL COMPENSATION**

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None. Mr. Cleary, Investment Advisor Representative, does not receive any economic benefit from any third party for providing advisory services.

## **ITEM 6 SUPERVISION**

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Mr. Cleary is the Chief Compliance Officer and is therefore responsible for the oversight of your IAR's activity. Mr. Cleary reviews client trades and the adviser's trades on a regular basis through our project/task management software system. Additionally, Mr. Cleary ensures that IARs, sales assistants and other branch office staff adhere to applicable laws, regulations and firm policies regarding the discharge of their duties. Suspected violations are referred to the proper channels within the firm for further investigation and, if necessary, disciplinary action. IARs are supervised by Dr. Wesley Gray and Patrick Cleary. Dr. Gray and Mr. Cleary may be contacted by calling (215) 882-9983 or by emailing [compliance@alphaarchitect.com](mailto:compliance@alphaarchitect.com).



**NOTICE: This brochure supplement provides information about LAWRENCE DUNN that supplements the ALPHA ARCHITECT brochure. You should have received a copy of that brochure. Please contact Compliance at 215-882-9983, if you did not receive the brochure of ALPHA ARCHITECT. You can also contact Dr. Wes Gray if you have any questions about the content of attached supplement. Additional information about the IAR delineated within this supplement is available on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this website by using the IAR's CRD number as listed herein.**

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**LAWRENCE DUNN**

**INVESTMENT ADVISER REPRESENTATIVE**

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July 19, 2018

## **ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE**

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### **Lawrence Dunn**

CRD No. 6688443

Year of Birth: 1980

### **Education Background:**

Ph.D. Physics, University of Texas, Austin, TX  
(12/2004– 12/2008)

MA, Physics, University of Texas, Austin, TX  
(09/2002– 12/2004)

BA, Physics (cum laude), Hendrix College, Conway, AR  
(09/1998 – 06/2002)

### **Business Experience:**

#### ***VP of Marketing & Business Development***

UT Austin Nanotechnology Spin-out Company, Broomall, PA (8/2016– Present)

#### ***Investment Advisor Representative***

ALPHA ARCHITECT, Broomall, PA (8/2016– Present)

#### ***Industrial Liaison Officer***

University of Texas, Austin, TX (6/2013 – Present)

#### ***Director of Marketing***

Atonometrics, Inc., Austin, TX (10/2011– 5/2013)

#### ***Technical Product Manager***

Atonometrics, Inc., Austin, TX (1/2011– 9/2011)

#### ***Senior Solar Scientist***

Atonometrics, Inc., Austin, TX (1/2008– 12/2010)

## **ITEM 3 DISCIPLINARY INFORMATION**

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None. Mr. Dunn, Investment Adviser Representative, does not have any legal or disciplinary events material to a client's or prospective client's evaluation.

## **ITEM 4 OTHER BUSINESS ACTIVITIES**

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Dr. Dunn remains employed full time during normal trading hours (40 hours / week) by the University of Texas at Austin where he supports industrial partnerships and other strategic efforts at the NASCENT Nanosystems Engineering Research Center. 10100 Burnett Road Austin, TX 78758. This business is not investment related. Employment commenced in June, 2013.

Dr. Dunn is also VP of Marketing and Business Development at a nanotechnology company that is being spun-out of UT Austin. As the company was recently founded and is in a pre-funding phase, Dr. Dunn is not currently being paid by the startup. This business is not investment related. Employment commenced in May, 2018.

## **ITEM 5 ADDITIONAL COMPENSATION**

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None. Mr. Dunn, Investment Advisor Representative, does not receive any economic benefit from any third party for providing advisory services.

## **ITEM 6 SUPERVISION**

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IARs are supervised by Dr. Wesley Gray and Patrick Cleary. Dr. Gray and Mr. Cleary may be contacted by calling (215) 882-9983 or by emailing [compliance@alphaarchitect.com](mailto:compliance@alphaarchitect.com).

**NOTICE:** This brochure supplement provides information about WESLEY GRAY that supplements the ALPHA ARCHITECT brochure. You should have received a copy of that brochure. Please contact Compliance at 215-882-9983, if you did not receive the brochure of ALPHA ARCHITECT. You can also contact Patrick Cleary if you have any questions about the content of attached supplement. Additional information about the IAR delineated within this supplement is available on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) . You can search this website by using the IAR's CRD number as listed herein.

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**WESLEY ROBERT GRAY**

**INVESTMENT ADVISER REPRESENTATIVE**

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July 19, 2018

## **ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE**

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### **Wesley R. Gray, Executive Managing Member**

CRD No. 4233964

Year of Birth: 1980

#### Education Background:

PhD, Finance, University of Chicago, Chicago, IL  
(9/02 – 6/04, 1/08 – 6/10)

MBA, Finance, University of Chicago, Chicago, IL  
(9/02 – 6/04, 1/08 – 6/10)

BS, Economics, the Wharton School at the University of Pennsylvania,  
Philadelphia, PA  
(4/00 – 6/02)

#### Business Experience:

##### ***Executive Managing Member***

ALPHA ARCHITECT, Broomall, PA

(1/08 – Present)

##### ***Assistant Professor of Finance***

DREXEL UNIVERSITY, Philadelphia, PA

(9/10 – 8/14)

##### ***Executive Managing Member (Real Estate Holding Company)***

EMPIRICAL FINANCE, LLC, Collingswood, NJ

(1/08 – 12/14)

## **ITEM 3 DISCIPLINARY INFORMATION**

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None. Dr. Wes Gray does not have any legal or disciplinary events material to a client's or prospective client's evaluation.

## **ITEM 4 OTHER BUSINESS ACTIVITIES**

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None.

## **ITEM 5 ADDITIONAL COMPENSATION**

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None. Dr. Wes Gray does not receive any economic benefit from any third party for providing investment advisory services.

## **ITEM 6 SUPERVISION**

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Dr. Wes Gray is an Investment Adviser Representative of ALPHA ARCHITECT. Dr. Wes Gray is also responsible for supervising personnel and for the administration of the firm's operations. As the Firm Supervisor, Dr. Wes Gray is responsible for the complete oversight of your IAR's activity. As Firm Supervisor, he reviews client trades and the adviser's trades on a regular basis through our project/task management software system. Additionally, Dr. Wes Gray ensures that IARs, sales assistants and other branch office staff adhere to applicable laws, regulations and firm policies regarding the discharge of their duties. Suspected violations are referred to the proper channels within the firm for further investigation and, if necessary, disciplinary action.

**NOTICE: This brochure supplement provides information about RYAN KIRLIN that supplements the ALPHA ARCHITECT brochure. You should have received a copy of that brochure. Please contact Compliance at 215-882-9983, if you did not receive the brochure of ALPHA ARCHITECT. You can also contact Dr. Wes Gray if you have any questions about the content of attached supplement. Additional information about the IAR delineated within this supplement is available on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this website by using the IAR's CRD number as listed herein.**

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**RYAN KIRLIN**

**INVESTMENT ADVISER REPRESENTATIVE**

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## **ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE**

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### **Ryan Kirlin**

CRD No. 6254875

Year of Birth: 1987

#### Education Background:

BS, Economics, Fordham University, New York, NY  
(09/2005 – 05/2009)

#### Business Experience:

##### ***Investment Advisory Representative***

Alpha Architect, Broomall, PA (10/2017 – Present)

##### ***Senior Product Specialist***

Oppenheimer Funds, International, Philadelphia, PA (12/2015– 3/2017)

##### ***Head of Capital Markets***

VTL Associates, LLC (RevenueShares), Philadelphia, PA (10/2013– 12/2015)

##### ***Associate***

NYSE Euronext, New York, NY (6/2008– 10/2013)

## **ITEM 3 DISCIPLINARY INFORMATION**

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None. Mr. Kirlin, Investment Adviser Representative, does not have any legal or disciplinary events material to a client's or prospective client's evaluation.

## **ITEM 4 OTHER BUSINESS ACTIVITIES**

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None.

## **ITEM 5 ADDITIONAL COMPENSATION**

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None. Mr. Kirlin, Investment Adviser Representative, does not receive any economic benefit from any third party for providing advisory services.

## **ITEM 6 SUPERVISION**

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IARs are supervised by Dr. Wesley Gray and Patrick Cleary. Dr. Gray and Mr. Cleary may be contacted by calling (215) 882-9983 or by emailing [compliance@alphaarchitect.com](mailto:compliance@alphaarchitect.com).



**NOTICE:** This brochure supplement provides information about BRANDON KOEPKE that supplements the ALPHA ARCHITECT brochure. You should have received a copy of that brochure. Please contact Compliance at 215-882-9983, if you did not receive the brochure of ALPHA ARCHITECT. You can also contact Dr. Wes Gray if you have any questions about the content of attached supplement. Additional information about the IAR delineated within this supplement is available on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this website by using the IAR's CRD number as listed herein.

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**BRANDON KOEPKE**

**INVESTMENT ADVISER REPRESENTATIVE**

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July 19, 2018

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## **ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE**

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**Brandon Koepke**

CRD No. 6874690

Year of Birth: 1989

Education Background:

Bachelor of Commerce, Finance, University of Calgary, Calgary, Alberta  
(09/2007 – 05/2013)

Bachelor of Science, Computer Science, University of Calgary, Calgary, Alberta  
(09/2007 – 05/2013)

Business Experience:

***Investment Advisory Representative***

Alpha Architect, Broomall, PA (10/2017 – Present)

***Senior Software Developer***

Strawhouse Labs, Kelowna, Canada (1/2017 – 9/2017)

***Software Engineer***

Amazon, Seattle, WA (10/2015– 12/2016)

***Software Developer***

Disney Interactive, Kelowna, Canada (5/2014 – 10/2015)

***Software Developer***

Procera Networks, Kelowna, Canada (10/2013– 3/2014)

***Software Developer***

Solium Financial, Calgary, Canada (5/2013 – 9/2013)

***Software Developer***

Onstream Pipeline Inspection, Calgary, Canada (5/2011 – 9/2012)

***Systems Administrator***

Rayburns Marine World, Kelowna, Canada (5/2003 – 9/2010)

## **ITEM 3 DISCIPLINARY INFORMATION**

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None. Mr. Koepke, Investment Adviser Representative, does not have any legal or disciplinary events material to a client's or prospective client's evaluation.

#### **ITEM 4 OTHER BUSINESS ACTIVITIES**

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None.

#### **ITEM 5 ADDITIONAL COMPENSATION**

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None. Mr. Koepke, Investment Advisor Representative, does not receive any economic benefit from any third party for providing advisory services.

#### **ITEM 6 SUPERVISION**

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IARs are supervised by Dr. Wesley Gray and Patrick Cleary. Dr. Gray and Mr. Cleary may be contacted by calling (215) 882-9983 or by emailing [compliance@alphaarchitect.com](mailto:compliance@alphaarchitect.com).

**NOTICE: This brochure supplement provides information about DOUGLAS PUGLIESE that supplements the ALPHA ARCHITECT brochure. You should have received a copy of that brochure. Please contact Compliance at 215-882-9983, if you did not receive the brochure of ALPHA ARCHITECT. You can also contact Dr. Wes Gray if you have any questions about the content of attached supplement. Additional information about the IAR delineated within this supplement is available on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this website by using the IAR's CRD number as listed herein.**

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**S. DOUGLAS PUGLIESE**

**INVESTMENT ADVISER REPRESENTATIVE**

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July 19, 2018

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## **ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE**

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### **S. Douglas Pugliese**

CRD No. 2777267

Year of Birth: 1965

#### Education Background:

MBA, Finance, Leonard N. Stern School of Business, New York University, New York, NY

(09/1994 – 05/1996)

BA, Economics and Spanish Literature, University of Pennsylvania, Philadelphia, PA

(09/1983 – 05/1988)

#### Business Experience:

##### ***Investment Advisory Representative***

Alpha Architect, Broomall, PA

(11/2016 – Present)

##### ***Director, Private Wealth Management***

SEI Investments Management Corp., Oaks, PA

(7/2012 – 10/2016)

##### ***Executive Managing Director and Principal***

Marshall and Stevens Inc., Philadelphia, PA

(6/2008 – 6/2012)

## **ITEM 3 DISCIPLINARY INFORMATION**

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None. Mr. Pugliese, Investment Adviser Representative, does not have any legal or disciplinary events material to a client's or prospective client's evaluation.

## **ITEM 4 OTHER BUSINESS ACTIVITIES**

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Mr Pugliese owns Breathe-Eze Holdings, LLC, a holding company for the purpose of owning intellectual property/patents for non-investment related Products.

## **ITEM 5 ADDITIONAL COMPENSATION**

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None. Mr. Pugliese, Investment Adviser Representative, does not receive any economic benefit from any third party for providing advisory services.

## **ITEM 6 SUPERVISION**

---

IARs are supervised by Dr. Wesley Gray and Patrick Cleary. Dr. Gray and Mr. Cleary may be contacted by calling (215) 882-9983 or by emailing [compliance@alphaarchitect.com](mailto:compliance@alphaarchitect.com).

**NOTICE: This brochure supplement provides information about JACK VOGEL that supplements the ALPHA ARCHITECT brochure. You should have received a copy of that brochure. Please contact Compliance at 215-882-9983, if you did not receive the brochure of ALPHA ARCHITECT. You can also contact Patrick Cleary if you have any questions about the content of attached supplement. Additional information about the IAR delineated within this supplement is available on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) . You can search this website by using the IAR's CRD number as listed herein.**

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**JOHN (JACK) ROBERT VOGEL**

**INVESTMENT ADVISER REPRESENTATIVE**

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## **ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE**

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### **John (Jack) Robert Vogel, Managing Member**

CRD No. 5572973

Year of Birth: 1983

#### **Education Background:**

PhD, Finance, Drexel University, Philadelphia, PA

(6/09 – 3/14)

MS, Mathematics, Drexel University, Philadelphia, PA

(5/06 – 6/08)

BA, Mathematics and Secondary Education Mathematics, University of Scranton, Scranton, PA

(6/03 – 5/06)

#### **Business Experience:**

##### ***Managing Member***

ALPHA ARCHITECT, Broomall, PA

(03/12 – Present)

##### ***Analyst***

ALPHA ARCHITECT and EMPIRITRAGE, Broomall, PA

(11/11 – 03/12)

##### ***Ph.D. Candidate / Research Assistant (Finance Dept.)***

Drexel University, Philadelphia, PA

(2009-2013)

##### ***Instructor (Mathematics Dept.)***

Drexel University, Philadelphia, PA

(2008-2009)

## **ITEM 3 DISCIPLINARY INFORMATION**

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None. Mr. Vogel, Investment Adviser Representative, does not have any legal or disciplinary events material to a client's or prospective client's evaluation.

## **ITEM 4 OTHER BUSINESS ACTIVITIES**

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As of January, 2015, Dr. Jack Vogel is a part-time adjunct instructor of finance at Villanova University.

## **ITEM 5 ADDITIONAL COMPENSATION**

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None. Mr. Vogel, Investment Advisor Representative, does not receive any economic benefit from any third party for providing advisory services.



## **ITEM 6 SUPERVISION**

---

IARs are supervised by Dr. Wesley Gray and Patrick Cleary. Dr. Gray and Mr. Cleary may be contacted by calling (215) 882-9983 or by emailing [compliance@alphaarchitect.com](mailto:compliance@alphaarchitect.com).

**NOTICE: This brochure supplement provides information about TAO WANG that supplements the ALPHA ARCHITECT brochure. You should have received a copy of that brochure. Please contact Compliance at 215-882-9983, if you did not receive the brochure of ALPHA ARCHITECT. You can also contact Patrick Cleary if you have any questions about the content of attached supplement. Additional information about the IAR delineated within this supplement is available on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) . You can search this website by using the IAR's CRD number as listed herein.**

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**TAO WANG**

**INVESTMENT ADVISER REPRESENTATIVE**

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## **ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE**

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**TAO WANG, Managing Member**

CRD No. 6138867

Year of Birth: 1988

Education Background:

MS, Finance, Drexel University, Philadelphia, PA

(9/10 – 6/12)

BA/BL, Journalism/International Business Law, Shanghai University of Finance and Economics, Shanghai, China

(9/06 – 6/10)

Business Experience:

***Managing Member***

ALPHA ARCHITECT, Broomall, PA

(9/13 – Present)

***Analyst***

ALPHA ARCHITECT and EMPIRITRAGE, Broomall, PA

(06/12 – 8/13)

## **ITEM 3 DISCIPLINARY INFORMATION**

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None. Mr. Wang, Investment Adviser Representative, does not have any legal or disciplinary events material to a client's or prospective client's evaluation.

## **ITEM 4 OTHER BUSINESS ACTIVITIES**

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None.

## **ITEM 5 ADDITIONAL COMPENSATION**

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None. Mr. Wang, Investment Advisor Representative, does not receive any economic benefit from any third party for providing advisory services.

## **ITEM 6 SUPERVISION**

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