

Brochure Supplement

(Part 2B of Form ADV)

Investment Adviser Representatives of ALPHA ARCHITECT

19 E. Eagle Road Havertown, PA 19083

Telephone: 215-882-9983

Email: aacompliance@alphaarchitect.com

November 17, 2023

NOTICE: This Brochure Supplement provides information about advisory personnel Richard Kyle Baxter III, Wesley Robert Gray, Ryan Kirlin, S. Douglas Pugliese, John (Jack) Robert Vogel, Richard Shaner, Jessica Lynn Bost, and Alexander Clark of ALPHA ARCHITECT, LLC ("ALPHA ARCHITECT") and affiliates. This information supplements the ALPHA ARCHITECT brochure. You should have received a copy of that brochure. Please contact compliance at 215-882-9983, if you did not receive the brochure of ALPHA ARCHITECT. You can also contact Wesley Gray, the Chief Compliance Officer, if you have any questions about the content of attached supplements.

Additional information about the IARs delineated within these supplements is available on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. You can search this website by using the IAR's CRD number as listed herein.

RICHARD KYLE BAXTER III, CFA

VP, Portfolio Services Phone: (215) 882-9983

EMAIL: <u>aacompliance@alphaarchitect.com</u>

ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE

CRD No. 6742546 Year of Birth: 1990

Education Background:

- MS, Finance, Baruch College, New York, NY
- BS, Chemistry, University of Maryland, College Park, MD
- BS, Chemistry, Wake Forest University, Winston-Salem, NC

Business Experience:

VP, Portfolio Services
 Alpha Architect, Havertown, PA

(01/2023 - Present)

Director, Portfolio Services
 Alpha Architect, Havertown, PA

(01/2017 - 1/2023)

ITEM 3 DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Mr. Baxter.

ITEM 4 OTHER BUSINESS ACTIVITIES

Registered investment advisers are required to disclose all material facts regarding other business activities in which the supervised person is engaged. No information is applicable to this Item for Mr. Baxter.

ITEM 5 ADDITIONAL COMPENSATION

Registered investment advisers are required to disclose all material facts regarding additional compensation for providing advisory services received by the supervised person from someone who is not a client. No information is applicable to this Item for Mr. Baxter.

ITEM 6 SUPERVISION

Mr. Baxter is supervised by the Chief Compliance Officer. Alpha Architect has appointed a Chief Compliance Officer who reviews and monitors IARs with respect to the rules and regulations. Mr. Baxter adheres to the Firm's Compliance Policies & Procedures Manual and Code of Ethics¹.

ITEM 7 PROFESSIONAL DESIGNATIONS

In 2021, Mr. Baxter received the Chartered Financial Analyst ("CFA") designation².

JESSICA LYNN BOST

VP - STRATEGIC PARTNERSHIPS & CLIENT SUCCESS PHONE: (215) 882-9983

EMAIL: aacompliance@alphaarchitect.com

ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE

CRD No. 6944228 Year of Birth: 1982

Education Background:

• BS, Psychology, Berry College, Rome, GA

Business Experience:

•	VP - Strategic Partnerships & Client Success	
	Alpha Architect, Havertown, PA	(09/2021 - Present)
•	Agent	
	Guardian Life Insurance Co of America, Martinez, GA	(09/2020 - 09/2021)
•	Registered Representative	
	Park Securities LLC, Martinez, GA	(09/2020 - 09/2021)
•	Wealth Advisor	
	CMW LLC, Omaha, NE	(12/2019 - 08/2020)
•	Client Relationship Manager/Operations Associate	
	Strategic Financial Management, Augusta, GA	(04/2018 - 08/2020)
•	Personal Trainer	
	Epic Fitness, Augusta, GA	(01/2017 - 04/2020)
•	Personal Trainer	
	Greenbrier Fitness, Evans, GA	(08/2016 - 01/2018)
•	Director of Training	
	Unity Christian School	(05/2011 - 08/2016)

ITEM 3 DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Ms. Bost.

ITEM 4 OTHER BUSINESS ACTIVITIES

Registered investment advisers are required to disclose all material facts regarding other business activities in which the supervised person is engaged. No information is applicable to this Item for Ms. Bost.

ITEM 5 ADDITIONAL COMPENSATION

Registered investment advisers are required to disclose all material facts regarding additional compensation for providing advisory services received by the supervised person from someone who is not a client. No information is applicable to this Item for Mrs. Bost.

ITEM 6 SUPERVISION

Ms. Bost is supervised by the Chief Compliance Officer. Alpha Architect has appointed a Chief Compliance Officer who reviews and monitors IARs with respect to the rules and regulations. Ms. Bost adheres to the Firm's Compliance Policies & Procedures Manual and Code of Ethics¹.

ITEM 7 PROFESSIONAL DESIGNATIONS

In 2023, Ms. Bost received the Chartered Financial Planner ("CFP") designation.³

WESLEY R. GRAY, PhD

CHIEF EXECUTIVE OFFICER/CHIEF INVESTMENT OFFICER/CHIEF COMPLIANCE OFFICER PHONE: (215) 882-9983

EMAIL: aacompliance@alphaarchitect.com

ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE

CRD No. 4233964 Year of Birth: 1980

Education Background:

- PhD, Finance, University of Chicago, Chicago, IL
- MBA, Finance, University of Chicago, Chicago, IL
- BS, Economics, the Wharton School at the University of Pennsylvania, Philadelphia, PA

Business Experience:

Executive Managing Member
 Alpha Architect, Havertown, PA

(1/2008 - Present)

ITEM 3 DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Dr. Gray.

ITEM 4 OTHER BUSINESS ACTIVITIES

Registered investment advisers are required to disclose all material facts regarding other business activities in which the supervised person is engaged. Dr. Gray owns Alpha Architect Global, LLC, which is a Puerto-Rico-Based entity that offers executive and financial advisory services. Dr. Gray provides his services to Alpha Architect, LLC and affiliates via this entity.

ITEM 5 ADDITIONAL COMPENSATION

Registered investment advisers are required to disclose all material facts regarding additional compensation for providing advisory services received by the supervised person from someone who is not a client. No information is applicable to this Item for Dr. Gray.

ITEM 6 SUPERVISION

Dr. Gray is supervised by the Chief Financial Officer, John (Jack) Vogel. Alpha Architect has appointed a Chief Compliance Officer who reviews and monitors IARs with respect to the rules and regulations. Dr. Gray adheres to the Firm's Compliance Policies & Procedures Manual and Code of Ethics¹.

RYAN KIRLIN PRESIDENT

PHONE: (215) 882-9983
EMAIL: aacompliance@alphaarchitect.com

ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE

CRD No. 6254875 Year of Birth: 1987

Education Background:

• BS, Economics, Fordham University, New York, NY

Business Experience:

President

Alpha Architect, Havertown, PA (10/2017 – Present)

• Senior Product Specialist

Oppenheimer Funds, International, Philadelphia, PA (12/2015 – 03/2017)

• Head of Capital Markets

VTL Associates, LLC (Revenue Shares), Philadelphia, PA (10/2013 – 12/2015)

ITEM 3 DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Mr. Kirlin.

ITEM 4 OTHER BUSINESS ACTIVITIES

Registered investment advisers are required to disclose all material facts regarding other business activities in which the supervised person is engaged. No information is applicable to this Item for Mr. Kirlin.

ITEM 5 ADDITIONAL COMPENSATION

Registered investment advisers are required to disclose all material facts regarding additional compensation for providing advisory services received by the supervised person from someone who is not a client. No information is applicable to this Item for Mr. Kirlin.

ITEM 6 SUPERVISION

Mr. Kirlin is supervised by the Chief Compliance Officer. Alpha Architect has appointed a Chief Compliance Officer who reviews and monitors IARs with respect to the rules and regulations. Mr. Kirlin adheres to the Firm's Compliance Policies & Procedures Manual and Code of Ethics¹.

S. DOUGLAS PUGLIESE

HEAD OF 1042 SOLUTIONS PHONE: (215) 882-9983

EMAIL: <u>aacompliance@alphaarchitect.com</u>

ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE

CRD No. 2777267 Year of Birth: 1965

Education Background:

• MBA, Finance, Leonard N. Stern School of Business, New York University, New York, NY

• BA, Economics and Spanish Literature, University of Pennsylvania, Philadelphia, PA

Business Experience:

Head of 1042 Solutions
 Alpha Architect, Havertown, PA

(11/2016 - Present)

Managing Member
 Breathe-Eze, LLC, Wynnewood, PA

(10/2015 - Present)

• *Director, Private Wealth Management*SEI Investments Management Corp., Oaks, PA

(10/2015 - 12/2016)

ITEM 3 DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Mr. Pugliese.

ITEM 4 OTHER BUSINESS ACTIVITIES

Registered investment advisers are required to disclose all material facts regarding other business activities in which the supervised person is engaged. Mr. Pugliese is a Managing Member and owner of Breathe-Eze Holdings LLC, a single member entity located at 324 Aubrey Rd, Wynnewood, PA 19096. Through Breathe-Eze Holdings LLC Mr. Pugliese engages in financial consulting activities with Alpha Architect, LLC. Breathe-Eze Holdings LLC also holds intellectual property/patent for non-investment related product, related activity to which is less than 10 hours per month outside of trading hours.

ITEM 5 ADDITIONAL COMPENSATION

Registered investment advisers are required to disclose all material facts regarding additional compensation for providing advisory services received by the supervised person from someone who is not a client. No information is applicable to this Item for Mr. Pugliese.

ITEM 6 SUPERVISION

Mr. Pugliese is supervised by the Chief Compliance Officer. Alpha Architect has appointed a Chief Compliance Officer who reviews and monitors IARs with respect to the rules and regulations. Mr. Pugliese adheres to the Firm's Compliance Policies & Procedures Manual and Code of Ethics¹.

JOHN (JACK) ROBERT VOGEL, PhD CHIEF INVESTMENT OFFICER/CHIEF FINANCIAL OFFICER

PHONE: (215) 882-9983
EMAIL: aacompliance@alphaarchitect.com

ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE

CRD No. 5572973 Year of Birth: 1983

Education Background:

- PhD, Finance, Drexel University, Philadelphia, PA
- MS, Mathematics, Drexel University, Philadelphia, PA
- BA, Mathematics and Secondary Education Mathematics, University of Scranton, Scranton, PA

Business Experience:

Managing Member
 Alpha Architect, Havertown, PA

(03/2012 - Present)

ITEM 3 DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Dr. Vogel.

ITEM 4 OTHER BUSINESS ACTIVITIES

Registered investment advisers are required to disclose all material facts regarding other business activities in which the supervised person is engaged.

ITEM 5 ADDITIONAL COMPENSATION

Registered investment advisers are required to disclose all material facts regarding additional compensation for providing advisory services received by the supervised person from someone who is not a client. No information is applicable to this Item for Dr. Vogel.

ITEM 6 SUPERVISION

Dr. Vogel is supervised by the Chief Compliance Officer. Alpha Architect has appointed a Chief Compliance Officer who reviews and monitors IARs with respect to the rules and regulations. Dr. Vogel adheres to the Firm's Compliance Policies & Procedures Manual and Code of Ethics¹.

ALEXANDER CLARK VICE PRESIDENT OF MARKETING PHONE: (215) 882-9983

EMAIL: aacompliance@alphaarchitect.com

ITEM 2 EDUCATIONAL AND BUSINESS EXPERIENCE

CRD No. 5780340 Year of Birth: 1987

Education Background:

BA, English Literature form Arizona State University

Business Experience:

•	Vice President of Marketing	
	Alpha Architect, Havertown, PA	(06/2022 - Present)
•	Marketing	
	Advisor group, Phoenix, AZ	(07/2020 - 06/2022)
•	Marketing	
	Freelance, Tempe, AZ	(03/2020 - 08/2020)
•	Marketing	
	Virtua Partners, Scottsdale, AZ	(09/2019 - 03/2020)
•	Sales & Marketing	
	Longboard Asset management, LLC, Phoenix, AZ	(10/2015 - 09/2019)

ITEM 3 DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Mr. Clark.

ITEM 4 OTHER BUSINESS ACTIVITIES

Registered investment advisers are required to disclose all material facts regarding other business activities in which the supervised person is engaged. No information is applicable to this Item for Mr. Clark.

ITEM 5 ADDITIONAL COMPENSATION

Registered investment advisers are required to disclose all material facts regarding additional compensation for providing advisory services received by the supervised person from someone who is not a client. No information is applicable to this Item for Mr. Clark.

ITEM 6 SUPERVISION

Mr. Clark is supervised by the Chief Compliance Officer. Alpha Architect has appointed a Chief Compliance Officer who reviews and monitors IARs with respect to the rules and regulations. Mr. Clark adheres to the Firm's Compliance Policies & Procedures Manual and Code of Ethics¹.

Other Information

¹A copy of both the Compliance Policies & Procedures Manual and Code of Ethics are available at the request of prospective and current clients.

²The Chartered Financial Analyst ("CFA") designation is issued by the CFA Institute. CFA candidates must meet one of the following requirements: (1) undergraduate degree and four years of professional experience involving investment decision-making, or (2) four years qualified work experience (full time, but not necessarily investment- related). To receive the CFA designation, candidates must complete the CFA Program which is organized into three levels, each requiring 250 hours of self-study and each culminating in a six-hour exam. There are no ongoing continuing education or experience thresholds necessary to maintain the CFA designation. More information about the designation is available at https://www.cfainstitute.org.

³ To attain Chartered Financial Planner status as an individual, one must study for and pass approximately 14 exams in various aspects of financial services and related subjects. Each exam offered by the Chartered Insurance Institute carries a certain number of "credits" in their qualification scheme. Credits can also be granted for passing equivalent exams from other awarding bodies. A total of 290 credits is required before Chartered status can be applied for. This is likely to take several years to achieve.

In addition to examinations, advisers are required to be members of the Personal Finance Society, maintain ongoing learning through a required amount of Continuing Professional Development each year, and have a minimum of five years of relevant industry experience.